



Docket No. 3499-82

**DECLARATION AND POWER OF ATTORNEY**

#3

As a below named inventor(s), I/We hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# METHOD AND SYSTEM FOR PROCESSING FINANCIAL DATA OBJECTS CARRIED ON BROADCAST DATA STREAMS AND DELIVERING INFORMATION TO SUBSCRIBING CLIENTS

the specification of which (check one) is:

attached hereto  was filed on May 31, 2001  
as Application Serial No. 09/870,811  
and amended on \_\_\_\_\_ (if applicable).

was described and claimed in PCT Application No. filed on and amended under Article 19 on

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulation, § 1.56.

I hereby claim priority benefits under Title 35, United States Code § 119 of any application(s) for patent or inventor's certificate listed below and have also identified below any application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Application(s)

| <u>Serial Number</u> | <u>Country</u> | <u>Filing Date</u> | <u>Priority Claimed (Y/N)</u> |
|----------------------|----------------|--------------------|-------------------------------|
| 60/223,397           | United States  | August 4, 2000     | N                             |
| 60/227,162           | United States  | August 22, 2000    | N                             |

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Serial Number Filing Date Status

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint:

|                       |                 |                     |                 |
|-----------------------|-----------------|---------------------|-----------------|
| John E. Kidd          | Reg. No. 19,916 | Gerard P. Norton    | Reg. No. 36,621 |
| Victor Siber          | Reg. No. 25,149 | John T. Johnson     | Reg. No. 37,363 |
| Margaret B. Kelley    | Reg. No. 29,181 | Frank C. Cimino     | Reg. No. 39,945 |
| Philip E. Roux        | Reg. No. 31,295 | Nada Jain           | Reg. No. 41,431 |
| Michael J. Pantuliano | Reg. No. 18,971 | Mitchell S. Feller  | Reg. No. 42,530 |
| Joseph P. Kincart     | Reg. No. 43,716 | David F. Ries       | Reg. No. 43,046 |
| James V. Mahon        | Reg. No. 41,966 | Anne M. Coughlin    | Reg. No. 43,564 |
| Joseph Levi           | Reg. No. 41,152 | Ira J. Schaefer     | Reg. No. 26,802 |
| Victor J. Geraci      | Reg. No. 38,157 | Steven J. Lever     | Reg. No. 46,871 |
| C. Joseph Laughon, II | Reg. No. 31,389 | Drew M. Wintringham | Reg. No. 31,894 |
| Leora Ben-Ami         | Reg. No. 32,455 | Ernest Yakob        | Reg. No. 45,893 |
| Robert D. Schaffer    | Reg. No. 33,775 | Russell DeClerk     | Reg. No. 46,364 |
| Michael M. O'Shea     | Reg. No. 35,631 | Mark Rueh           | Reg. No. 43,896 |
| Joel N. Bock          | Reg. No. 36,456 |                     |                 |

all of the firm of Clifford Chance Rogers & Wells LLP, 200 Park Avenue, New York, New York 10166-0153, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all communications to:

Mitchell S. Feller, Esq.  
Clifford Chance Rogers & Wells LLP  
200 Park Avenue, New York, NY 10166  
(212) 878-8545

Wherefore I request that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

Full name of sole or inventor # 1 Andrew J.R. Smith

Inventor's signature. AJR Smith

Date

28 Aug 2001

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Inventor's signature

Date

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Inventor's signature

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Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Inventor's signature \_\_\_\_\_ Date 8/30/01

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